




Appendix A Risks and Action Plan Risk Identified	Risk Owner	Action Required to Address Risk	Target Date	Risk Category	Current position / progress	Status	Status	Current Rating
		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 08/06/2018	as at Sept 17	as at Dec 17	as at Mar 18
 1 Potential Claims growth	Chief Executive	The Council has robust systems in place both to deal with claims when they happen and also to prevent, where possible, the circumstances where claims could arise. In doing so, the Council has in place policies and procedures designed to enhance safety at work and also to advise staff and others when driving or operating machinery. The Council checks, on a regular basis, that it is up to date on best practice in this area and that systems reflect changes in the local, national or international environments		Strategic	Risks reviewed and noted that this area is of growing significance with the number and value of claims increasing. Further actions reviewed. Consideration was given to potential control measures, but these are addressed by the existing further actions.	I = H L = H High 9	I = H L = H High 9	I = H L = H High 9

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2	Financial consequences of adverse planning decisions	Regeneration and Development	Production of Emerging Joint Local Plan – completion of Preferred Options stage	Oct-18	Strategic	The failure of the council to determine planning applications in accordance with material planning considerations may lead to the Council incurring costs in both defending appeals and Inspectors awarding costs against it on the grounds of unreasonable behaviour. Report approved for consultation by Cabinet on 4 January 2018; next stage is Draft Joint Local Plan for consideration by Cabinet in autumn 2018.	I = H L = H High 9	I = H L = H High 9	I = H L = H High 9
3	Fire risk occurrence	Corporate Health and Safety			Operational	All previous actions completed. Risk will remain high due to 2 occurrences in the past 12 months.	I = H L = H High 9	I = H L = H High 9	I = H L = H High 9

**Notable High and Medium Risks - Appendix B**

Risks and Action Plan Risk Identified	Risk Owner	Action Required to Address Risk  in order to reduce the risk	Target Date  for action completion	Risk Category  Strategic, Operational, Project	Current position / progress  as at 08/06/2018	Status  as at Sept 17	Status  as at Dec 17	Current Rating  as at Mar 18
 4 Failure to comply with relevant health and safety legislation	Regeneration and Development and Chief Executive	Corporate mandate for scheduled diary dates to update Target100 (Health and Safety system)	Ongoing	Strategic	There have been RIDDOR (Reporting of Injuries, Diseases and Dangerous Occurrence Regulations 1995 as amended) reportable incidents over the past six months. A focussed effort upon reviewing risk assessments is expected to improve the Council resilience.	I = 3 L = 1 Medium 3	I = 3 L = 1 Medium 3	I = H L = H High 9
 5 Failure to achieve income targets	Recycling and Waste	Continue to monitor the current global downturn in recycled material values  Feasibility study to look to install a second bailer to increase security of productivity	Ongoing	Operational	The potential market changes mean that the income derived from this is reducing significantly and is primarily outside of the Council's control.  Although the production may be secured if another bailer was installed, the end product is being affected by outside sources who are re-thinking their acceptance of recyclable waste from Great Britain.	I = H L = M Medium 6	I = H L = M Medium 6	I = M L = H Medium 8

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6 Failure to deliver Local Air Quality Management action plans	Environmental Protection	Deliver Air Quality Action Plan to DEFRA. Failure to manage air quality in accordance with statutory requirements and not addressing risks to residents health in affected areas. The minister has reserve power functions and judicial review of the council function /decision making may be called. Development and delivery of measures requires buy in from key stakeholders.	Apr-18	Operational	Revised consultation paper is to be with EMT then Public Protection on 31 July 2018 for discussion before submitting to DEFRA.	I = M L = H Medium 8	I = M L = H Medium 8	I = M L = H Medium 8

